

Docket No.: 09619/000L117-US0

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Tadaaki Oikawa et al.

Application No.: 10/037,475

Filed: January 3, 2002

For: MAGNETIC RECORDING MEDIUM,

METHOD FOR MANUFACTURING A
MAGNETIC RECORDING MEDIUM AND

MAGNETIC RECORDING DEVICE

Confirmation No.:

Art Unit: 1773

Examiner: L. V. Falasco

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom..

The Examiner states, in the Office Action dated October 7, 2003, that JP 8-255342 and two Magnetics Society of Japan documents were not received. Applicants state that these references were submitted on January 3, 2002 with the patent application. A copy of the Return Receipt Postcard indicates that the IDS, Form PTO-1449 and 4 references were transmitted to the U.S. Patent & Trademark Office. The postcard was stamped by the Office of Initial Patent Examination and is evidence that the U.S. Patent & Trademark Office received the above documents.

Applicants resubmit the documents and a new PTO/SB/08 indicating the lost references as a courtesy to the Examiner. Applicants respectfully submit that the references were timely submitted and that no fee is due.

Document JP 8-255342 is not in the English language. Citations CA -CB are not in the English language, however the figures indicated on these documents are in the English language and therefore describes the articles. In accordance with 1.98(c), Applicants state:

The requirement for concise explanation of relevance of Document JP 8-255342 is satisfied by the attached translation of the abstract (see MPEP § 609 A(3)x). A copy of each document on the PTO/SB/08 is attached.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed documents.

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Application No.: 10/037,475

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

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Dated: February 25, 2004

Respectfully submitted,

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PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/037,475-Conf. #5199
11	NFORMATIC	N DIS	SCLOSURE	Filing Date	January 3, 2002
S	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Tadaaki Oikawa
				Art Unit	1773
				Examiner Name	L. V. Falasco
Sheet	· 1	of	1	Attorney Docket Number	09619/000L117-US0

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1				

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	BA T	JP-8-255342-A1	10-01-1996	Kaizu Katsutake et al.		х

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	CA	H. Ryonai et al; Effect of Cr-Mo under-layer on CoPt-Si02 media; Magnetics Society of Japan, Digest of the 22nd Annual Conference on Magnetics in Japan, page 469 (1998).			
	СВ	H. Ohmori et al; "High Coercivity recording media prepared using non-heating process"; Magnetics Society of Japan, Digest of the 24th Annual conference on Magnetics in Japan, page 21 (2000).			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.





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Ser./Reg./Pat No	File No. M1971-109 By UH
Tite/Mark Magnetic Recende	File No. M. 1971-109 By JH ing Medium, Mithod Fer.,,
In the Matter of the Application of H. Uwa	zun et al
the following, due 1/5/2002	_ in the U.S. Patent and Trademark Office, was received
in the Patent and Trademark Office Mail Room on the	
☐ Affidavit or ☐ Declaration	Drawings Sheet(s) including Figs. Formal Informal
☐ Response to Office Action	Figs. 🗖 Formal 🗌 Informal
☐ Extension Request	☐ Maintenance Fee
Application for Patent Including:	(Express)
32 pp. Specification, 18 Claims (6 Indep.)	☐ Power of Attorney
☐ Declaration or ☐ Oath 🕱 Abstract	DS and Form PTO-1449 w/ + ref.
☐ Application for Registration/Renewal Including	Priority Document(s) 2001-000748
Specimens/Facsimilies	\$ 8 & 15 Declaration 1017 U.S. PTO
☐ Assignment and Form PTO-1595	Transmittal 10/03748F
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